

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

## NOTICE OF ALLOWANCE AND FEE(S) DUE

026148

nonprovisional

REFLECTIVITY, INC.

350 POTRERO AVENUE

SUNNYVALE, CA 94085

10/02/2003

**EXAMINER** 

OLSEN, ALLAN W

ART UNIT

PAPER NUMBER

01/02/2004

1763

\$1330

DATE MAILED: 10/02/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/649,569	08/28/2000	Satyadev R. Patel	P6-US	1795
TITLE OF INVENTION: A	12			

APPLN, TYPE SMALL ENTITY ISSUE FEE PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE

\$0

\$1330

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

NO

PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (703) 746-4000

annualista All further con	respondence including the F pelow or directed otherwise	Patent advance om	lers and notificatio	n of maintenance tees	uired). Blocks 1 through 4 s will be mailed to the current s; and/or (b) indicating a sep	correspondence address as
CURRENT CORRESPONDENC	E ADDRESS (Note: Legibly mark-up	with any corrections or t	use Block 1)	Fee(s) Transmittal. T papers. Each addition	f mailing can only be used f his certificate cannot be used nal paper, such as an assignm	for any other accompanying
	90 10/02/2003				te of mailing or transmission.	
REFLECTIVITY, INC. 350 POTRERO AVENUE SUNNYVALE, CA 94085				I hereby certify that States Postal Service addressed to the Ma	ertificate of Mailing or Tran this Fee(s) Transmittal is beir with sufficient postage for fa ill Stop ISSUE FEE address PTO, on the date indicated be	ig deposited with the United rst class mail in an envelope above, or being facsimile
				transmitted to the OS	1 10, on the date indicated be	(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVI	ENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/649,569	08/28/2000		Satyadev R. Pa	tel	P6-US	1795
TITLE OF INVENTION: A	PPARATUS AND METHO	D FOR FLOW OF	PROCESS GAS II	N AN ULTRA-CLEAN	ENVIRONMENT	
APPLN. TYPE	SMALL ENTITY	ISSUE FE	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330		\$0	\$1330	01/02/2004
EXAM	INER	ART UNI	IT	CLASS-SUBCLASS		
OLSEN, A	ALLAN W	1763		216-079000		
Address form PTO/SB/1  "Fee Address" indicati PTO/SB/47; Rev 03-02 Number is required.  3. ASSIGNEE NAME AND BLEASE NOTE: Unless	ion (or "Fee Address" Indicator more recent) attached. Use D RESIDENCE DATA TO Be an assignee is identified be deto the USPTO or is being seed to the USPTO	tion form e of a Customer  BE PRINTED ON T low, no assignee de submitted under ser	agents OR, alter firm (having as agent) and the attorneys or ag will be printed.  THE PATENT (printed at a will appear on parate cover. Comp	the natent Inclusion of	e of a single d attorney or stered patent ed, no name  3  assignee data is only appropr of a substitute for filing an as	iate when an assignment has signment.
Dlagge check the appropriate	e assignee category or catego	ories (will not he pri	inted on the natent)	; 🔾 individual 🔾	corporation or other private	group entity
4a. The following fee(s) are			. Payment of Fee(s			
☐ Issue Fee			A check in the	amount of the fee(s) is e	nclosed.	
☐ Publication Fee				dit card. Form PTO-203		
Advance Order - # of	Copies		The Director is Deposit Account l		charge the required fee(s), or (enclose an extra	r credit any overpayment, to copy of this form).
Director for Patents is reque	ested to apply the Issue Fee a	and Publication Fee	(if any) or to re-ap	ply any previously paid	issue fee to the application id	entified above.
(Authorized Signature)		(Date)	<del>-</del>			
other than the applicant; interest as shown by the re	d Publication Fee (if requir a registered attorney or age ecords of the United States P	gent; or the assigned atent and Trademar	ee or other party : rk Office.	in ]		
application. Confidentialli estimated to take 12 minu completed application for case. Any comments on suggestions for reducing Patent and Trademark 22313-1450 DO NOT 5	ation is required by 37 CFR by the public which is to fix is governed by 35 U.S.C. attes to complete, including gram to the USPTO. Time with amount of time you this burden, should be sent Office, U.S. Department SEND FEES OR COMPLE for Patents, Alexandria, Vir	gathering, preparing athering, preparing ill vary depending require to comple to the Chief Inform of Commerce, A ETED FORMS TO	g, and submitting the upon the individuate this form and/mation Officer, U. Mexandria, Virgin D. THIS ADDRES	ne lal		

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# United States Patent and Trademark Office

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APPLICATION NO.	FI	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/649,569	08/28/2000		08/28/2000 Satyadev R. Patel		1795
026148	7590	10/02/2003		EXAM	INER
REFLECTIV	ITY, INC.			OLSEN, A	LLAN W
350 POTRERO SUNNYVALE				ART UNIT	PAPER NUMBER
SONIVI VILLE	, 011 > 1005			1763	
				DATE MAILED: 10/02/200	3

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 417 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice; the Patent Term Adjustment will be 417 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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09/649,569	08/28/2000		Satyadev R. Patel	P6-US	1795	
026148	7590	10/02/2003		EXAM	NER	
<b>REFLECTIVI</b>	TY, INC.			OLSEN, A	LLAN W	
350 POTRERO SUNNYVALE,				ART UNIT	PAPER NUMBER	
SOMMI VALL,	C/1 /4003			1763	_	

DATE MAILED: 10/02/2003

### Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fed. Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent,

except a design or plant patent:

By other than a small entity......\$1,330.00

(b) Issue fee for issuing a design patent:

By a small entity (Sec. 1.27(a)).....\$240.00 By other than a small entity......\$480.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))......\$320.00 By other than a small entity......\$640.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

~	_				
	Application No.	Applicant(s)			
No. 4' a a a E. A Hanna bell'Ann	09/649,569	PATEL ET AL.			
Notice of Allowability	Examiner	Art Unit			
	Allan W. Olsen	1763			
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this apport or other appropriate communication (GHTS). This application is subject to	plication. If not include n will be mailed in due	ed course. <b>THIS</b>		
1.   This communication is responsive to the amendment filed	3/24/03 and the telephone interview	of 9/30/03.			
2. The allowed claim(s) is/are 1-41 and 45-89.		<del></del>			
3. The drawings filed on 28 August 2000 are accepted by the	Examiner.				
4. ☐ Acknowledgment is made of a claim for foreign priority und a) ☐ All b) ☐ Some* c) ☐ None of the:	ler 35 U.S.C. § 119(a)-(d) or (f).				
1. Certified copies of the priority documents have	been received.				
2. Certified copies of the priority documents have	been received in Application No	·			
<ol> <li>Copies of the certified copies of the priority does</li> <li>International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been received in this	national stage applica	tion from the		
* Certified copies not received:					
5. Acknowledgment is made of a claim for domestic priority un	nder 35 U.S.C. § 119(e) (to a provisi	ional application).			
(a) The translation of the foreign language provisional a	pplication has been received.				
6. $\boxtimes$ Acknowledgment is made of a claim for domestic priority un	nder 35 U.S.C. §§ 120 and/or 121.				
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of					
7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.					
8. CORRECTED DRAWINGS must be submitted.					
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Review ( PTO	9-948) attached			
1)  hereto or 2)  to Paper No	3	,			
(b) ☐ including changes required by the proposed drawing correction filed, which has been approved by the Examiner.					
(c) including changes required by the attached Examiner					
Identifying indicia such as the application number (see 37 CFR 1 each sheet.	.84(c)) should be written on the drawin	ngs in the front (not the	back) of		
9.   DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T			Note the		
Attachment(s)					
<ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statements (PTO-1449), Paper No</li> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	4⊠ Interview Summ 6⊠ Examiner's Ame	al Patent Application (lary (PTO-413), Paper endment/Comment ement of Reasons for a	No		
	Juliei .				

Application/Control Number: 09/649,569

Art Unit: 1763



### **DETAILED ACTION**

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Greg Muir on September 30, 2003.

The application has been amended as follows:

Change the dependency of Claim 57 so that it is dependent upon claim 56. Change the dependency of Claim 58 so that it is dependent upon claim 58. Change the dependency of Claim 60 so that it is dependent upon claim 58. Change the dependency of Claim 61 so that it is dependent upon claim 57. Change the dependency of Claim 61 so that it is dependent upon claim 57. Change the dependency of Claim 62 so that it is dependent upon claim 56. Change the dependency of Claim 63 so that it is dependent upon claim 56. Change the dependency of Claim 64 so that it is dependent upon claim 63. Change the dependency of Claim 65 so that it is dependent upon claim 64. Change the dependency of Claim 66 so that it is dependent upon claim 56. Change the dependency of Claim 67 so that it is dependent upon claim 56. Change the dependency of Claim 69 so that it is dependent upon claim 68. Change the dependency of Claim 70 so that it is dependent upon claim 69. Change the dependency of Claim 71 so that it is dependent upon claim 70. Change the dependency of Claim 71 so that it is dependent upon claim 70.

Claim 89 - delete "xenon difluoride crystals" and insert therefor --bromine trifluoride--.

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#### Election/Restrictions

Applicant's election with traverse of the apparatus claims (Group I) in Paper No. 7 is acknowledged. However, as Applicant's traversal is found persuasive, the restriction requirement is withdrawn.

### Telephone Interview

On March 3, 2003, Applicant filed a response to the Office action of 12/19/2002. As Applicant had not received any further communication, Mr. Muir called the Examiner on about 9/26/2002 to inquire as to the status of the application. The Examiner informed Mr. Muir that a Notice of Non-Responsive Amendment had been mailed on June 10, 2003. Mr. Muir indicated that this Notice had not been received. In a follow-up conversation on 9/30/2003, Mr. Muir authorized the above Examiner's amendment.

### Response to Amendment

The reply filed on 3/24/03 was previously been held to be not fully responsive to the prior Office Action. As noted above Applicant did not receive the Notice of Non-Responsive Amendment. As a result the Office has not received a reply within the designated period for response. However, after further consideration, the Examiner reverses the holding of the amendment being non-responsive (See MPEP 711.03 (a)). With the corrections made by the attached Examiner's amendment, and in view of the amendments and Applicant's remarks filed 3/34/03 the application is now considered to be in condition for allowance.

### Allowable Subject Matter

Claims 1-41 and 45-89 are allowed.

.Application/Control Number: 09/649,569

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allan Olsen whose telephone number is 703-306-9075. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Greg Mills, can be reached on 703-308-1633.

The general fax numbers for TC1700 are 703-872-9310 (non-after finals) and 703-872-9311(after-final).

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0661.

Allan Olsen, Ph.D. September 30, 2003

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